

Docket No. WC-01-11-02.00- As Recorded By USPTO

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Akira MASHIMO

Examiner: TO, DORIS HA

Appl. No.:

10/082,128

Group: 2655

Filed:

February 26, 2002

RECEIVED

OCT 2 2 2004

For: OPTICAL DISK DEVICE

Technology Center 2600

INFORMATION DISCLOSURE STATEMENT

<u>UNDER 37 C.F.R. § 1.56</u>

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The Examiner is respectfully requested to consider the references noted on the enclosed Form PTO-1449 during examination of the above-identified patent application. These references are submitted for the Examiner's consideration and are submitted pursuant to the Applicant's duty of disclosure under 37 C.F.R. § 1.56. Copies of the references are provided.

The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; with three months of the date of entry of the national stage as set fort in 37 C.F.R. § 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last. Pursuant to 37 C.F.R. § 1.97, no fees are due with respect to this filing.

In the event that the transmittal letter is separated from this document and the Patent and Trademark Office determines that an extension and/or other relief is required, Applicant petitions for any required relief including extensions of time.

Respectfully submitted,

Dated:

October 15, 2004

By:

Richard H. Lilley, Jr.
Registration No. 42,803

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Substitute for form 1449/PTO

Sheet 1

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known			
Application Number	10/082,128		
Filing Date	2/26/2002		
First Named Inventor	Akira Mashimo		
Art Unit	2655		
Examiner Name	To, Doris Ha		
Attorney Docket Number	WC-01-11-02.00		

Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
Initials* No	No.1	Number-Kind Code ² (# known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
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Cite No.1	Foreign Patent Document	Publication			
110.	•	Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
	Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T _e
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Examiner		Date	_
Signature		Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR GOMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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